

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P O Box 1450 Alexandria, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/591,705	01/19/2007	Katsumi Ichitani	295894US0PCT	3044
22850 7590 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER	
			MCAVOY, ELLEN M	
			ART UNIT	PAPER NUMBER
			1797	
			NOTIFICATION DATE	DELIVERY MODE
			03/26/2009	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

### Application No. Applicant(s) 10/591,705 ICHITANI ET AL. Office Action Summary Examiner Art Unit Ellen M. McAvov 1797 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-6 is/are pending in the application. 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1-6 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).			
a)⊠ All b)□ Some * c)□ None of:			

1. Certified copies of the priority documents have been received.

2. Certified copies of the priority documents have been received in Application No.

3. Copies of the certified copies of the priority documents have been received in this National Stage

application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)	
1) Notice of References Cited (PTO-892)	4) Interview Summa

4) 🗀	Interview Summary (PTO-413)
5)	Paper No(s)/Mail Date  Notice of Informal Patent Application
	Other:

o) 🗆 cuiei. \_\_\_\_.

Art Unit: 1797

#### Claim Objections

Claims 4 and 5 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim cannot depend upon another multiple dependent claim. See MPEP § 608.01(n). Accordingly, these claims have not been further treated on the merits.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3 and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hewson et al (6,239,082), Sweet (US 2005/0039832) and Ichitani et al (7,347,927), considered separately.

Hewson et al ["Hewson"] disclose petroleum quench oil effective for high speed cooling of heated metals and metal hardening, especially steel. The petroleum quench oil contains natural or synthetic base oils having a minimum flash point of about 120°C and having a viscosity between 5 and 100 cSt at 40°C; one such base oil is a solvent refined paraffinic base stock. See column 1, lines 4-42. The petroleum quench oil additionally includes a quench speed accelerator additive system containing (a) a polymer or copolymer having alkylene groups such as polyisobutylene, and (b) a succinic acid or succinic anhydride functionalized polymer or copolymer having alkylene groups. The examiner is of the position that the petroleum quench oil of Hewson meets the limitations

Art Unit: 1797

of the claimed quenching oil when the vapor blanket breaking agent is a polyolefin such as polyisobutylene. Applicants' open-ended claim language "comprising" allows for the addition of other additives to the quenching oil such as the succinic acid or succinic anhydride functionalized polymer or copolymer having alkylene groups of Hewson.

Sweet et al ["Sweet"] disclose quenching oil compositions comprising (1) a base oil having a kinematic viscosity at 40°C ranging from about 4 to about 45 mm²/s and having a saturated content from about 80% to 100%, (2) an alkali metal salt of saligenin derivative and, optionally, further comprising at least one of (3) an aliphatic polyolefin having a molecular weight ranging from about 300 to about 10,000, (4) a metal salt component, and (5) succinic ester compounds. See page 2, paragraphs [0019] to [0026]. The examiner is of the position that the quenching oil compositions of Sweet meet the limitations of the claimed quenching oil when the vapor blanket breaking agent is a polyolefin. Applicants' open-ended claim language "comprising" allows for the addition of other additives to the quenching oil such as components (2), (4) and (5) of Sweet.

Ichitani et al ["Ichitani"] disclose a heat treatment oil composition comprising a mixed base oil containing 50-95 weight % of (A) a low viscosity base oil with a kinematic viscosity of 5-60 mm²/s at 40°C, 50-5 weight % of (B) a high viscosity base oil with a kinematic viscosity of more than 300 mm²/s at 40°C, and (C) a vapor blanket-breaking agent including ethylene-alpha-olefin copolymers, polyolefins and polymethacrylates. See column 1, line 55 to column 3, line 26. The examiner is of the position that the heat treatment oil composition of Ichitani meets the limitations of the claimed quenching oil. Applicants' open-ended claim language "comprising" allows for

Art Unit: 1797

the addition of other additives to the quenching oil such as the high viscosity base oil of lehitani.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicants' disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ellen M. McAvoy whose telephone number is (571) 272-1451. The examiner can normally be reached on M-F (7:30-5:00) with alt. Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on (571) 272-1444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO

Art Unit: 1797

Customer Service Representative or access to the automated information system, call

800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ellen M McAvoy/ Primary Examiner Art Unit 1797

EMcAvoy March 20, 2009